

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

10/529590

INTERNATIONAL APPLICATION NO.
PCT/EP2003/010687INTERNATIONAL FILING DATE
September 25, 2003PRIORITY DATE CLAIMED
October 2, 2002

TITLE OF INVENTION

CELLS OVEREXPRESSING LIPOYL-PROTEIN LIGASE B-GENE FOR FERMENTATIVE
PRODUCTION OF R-ALPHA-LIPONIC ACID

APPLICANT(S) FOR DO/EO/US

Tobias DASSLER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

PCT/ISA/210 - Int'l. Search Report (English)
2 SHEETS OF FORMAL DRAWINGS
SEQUENCE LISTING

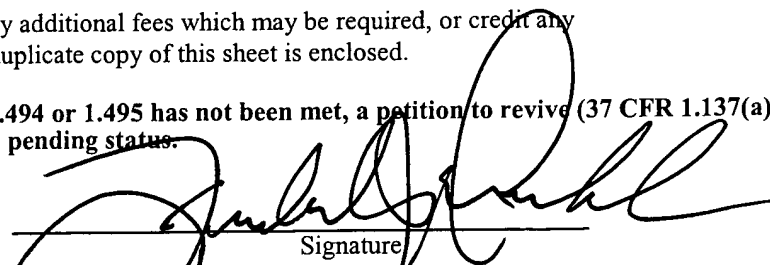
APPLICATION NO. (if known, see 37 CFR 1.5) 10/529590				INTERNATIONAL APPLICATION NO. PCT/EP2003/010687		ATTORNEY'S DOCKET NO. DASSLER 1 - PCT	
The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): <div style="display: flex; justify-content: space-between;"> <div style="width: 40%;"> <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO <input checked="" type="checkbox"/> National Stage Search Fee <input checked="" type="checkbox"/> National Stage Examination Fee <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) <input type="checkbox"/> Neither international preliminary examination fee paid (37 CFR 1.82) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) </div> <div style="width: 40%; text-align: right;"> \$ 300.00 \$ 500.00 \$ 200.00 \$ 750.00 \$ 1,110.00 \$ 100.00 </div> </div> <div style="text-align: right; margin-top: 10px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = \$1,000.00 </div>				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							
Claims	Number Filed	Number Extra	Rate				
Total Claims	13 - 20	- 0 -	X \$50.00				
Independent Claims	3 - 3	- 0 -	X \$200.00				
Multiple dependent claim(s) (if applicable)			+ \$360.00				
TOTAL OF ABOVE CALCULATIONS =				\$1,000.00			
Reduction by 1/2 for Small Entity status, if applicable.							
SUBTOTAL =				\$1,000.00			
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							
TOTAL NATIONAL FEE =				1,000.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
TOTAL FEES ENCLOSED =				1,000.00			
				Amount to be:			
				refunded		\$	
				charged		\$	

____ Applicant claims Small Entity status.

- a. ☒ A check in the amount of \$ **\$1,000.00** to cover the above fees is enclosed.
- b. ____ Please charge my Deposit Account No. 03-2468 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 03-2468. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

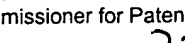
SEND ALL CORRESPONDENCE TO:
COLLARD & ROE, P.C. (Customer No. 25889)
 1077 Northern Boulevard
 Roslyn, New York 11576-1696
 (516) 365-9802

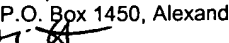

 Signature
Frederick J. Dorchak
 Reg. No. 29,298

Express Mail No. EV 621 913 631 US

Date of Deposit: March 29, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above, and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.


 Maria Guastella


 J. M. H. H. H. H. H.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: TOBIAS DASSLER - 1 (PCT)

TITLE: CELLS OVEREXPRESSING LIPOYL-PROTEIN LIGASE B-GENE
FOR FERMENTATIVE PRODUCTION OF R-ALPHA-LIPONIC
ACID

STATEMENT UNDER RULES 821(e), 821(f), 821(g), 825(b) & 825(d)

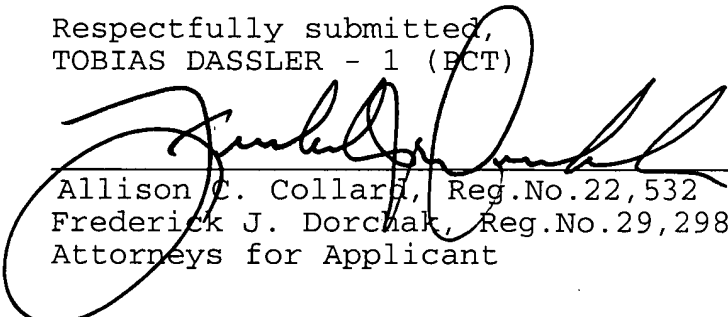
MAIL STOP PCT
Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is stated that the content of the enclosed paper Sequence Listing and computer readable form Sequence Listing are the same and contain no new matter as required by U.S.P.T.O. Rules 821(e), 821(f), 821(g), 825(b) & 825(d).

Respectfully submitted,
TOBIAS DASSLER - 1 (PCT)

COLLARD & ROE, P.C.
1077 Northern Boulevard
Roslyn, New York 11576
(516) 365-9802


Allison C. Collard, Reg.No.22,532
Frederick J. Dorchak, Reg.No.29,298
Attorneys for Applicant

FJD:jc

Enclosures: Sequence Listing and diskette

EXPRESS MAIL NO. EV 621 913 631 US
Date of Deposit: March 29, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above, and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


~~Maria Guastella~~
J. M. Henderson